

FORMALITIES LETTER



OC000000004977755

UNITED STATES DEPARTMENT OF
COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/494,643	01/31/2000	Jean Marc Halbout	SMS999-002

IBM CORPORATION
Anne Vachon Dougherty ESQ
3173 Cedar Road
Yorktown Heights, NY 10598



Date Mailed: 02/29/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

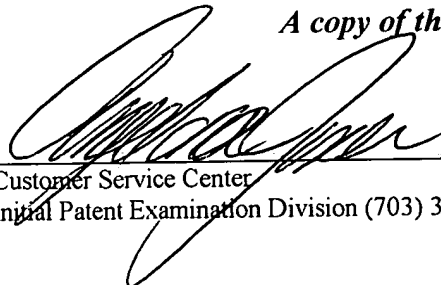
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

04/13/2000 KZEWDIE 00000084 500510 09494643
01 FC:105 130.00 CH



Receipt #4
FILE COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of : April 7, 2000
J.M. Halbout, et al : Group Art No.: 2768
Serial No. 09/494,643 : Examiner: Not assigned
Filed: January 31, 2000 : for IBM Corporation
Title: SYSTEM AND METHOD FOR Anne Vachon Dougherty
MEASURING AND PRICING 3173 Cedar Road
MIDRANGE COMPUTER SERVER Yorktown Hts, NY 10598
OUTSOURCING SERVICES

RECEIVED
MAY 23 2000
TC 2700 MAIL ROOM

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Attached is a copy of the original FILING RECEIPT received from the PTO in the above application, for which issuance of a corrected filing receipt is respectfully requested.

Applicants respectfully request CORRECTION OF THE APPLICANTS(S)' NAME. The inventors' names are erroneously entered as:

JEAN MARC HALBOUT
STEPHEN T. HUH
THOMAS J. KRAMER
DANIEL J. MATHIAS
RICHARD J. MISKOVITZ
EDWARD PLAVCHAK
JOEL PENFIELD

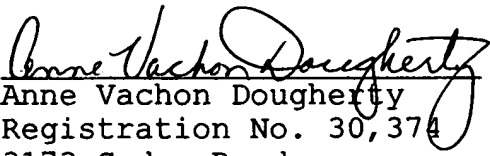
where, as indicated in the attached copy of the Declaration and Power of Attorney, the names should be entered as follows:

JEAN-MARC HALBOUT
STEPHEN T. HUHN
THOMAS J. KRAMER
DANIEL J. MATHIAS
RICHARD F. MISKOVITZ
EDWARD PLAVCHAK
JOEL PENFIELD READ

The required corrections are not due to any error by Applicants and no fee is believed due. If any fees are due, authorization is given for those fees to be charged to Deposit Account 09-0629.

Respectfully submitted,

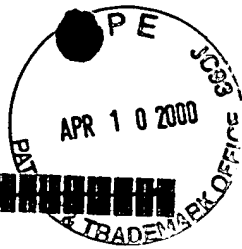
J. M. Halbout, et al

By: 
Anne Vachon Dougherty
Registration No. 30,374
3173 Cedar Road
Yorktown Heights, NY 10598
Tel. (914) 962-5910

Enclosures

FILING RECEIPT

OC000000004977754

UNITED STATES DEPARTMENT OF
COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART. UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/494,643	01/31/2000	2768	768	SMS999-002	2	16	4

IBM CORPORATION
Anne Vachon Dougherty ESQ
3173 Cedar Road
Yorktown Heights, NY 10598

Date Mailed: 02/29/2000

RECEIVED
MAY 23 2000
TC 2700 MAIL ROOM

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

✓
Jean-Marc Halbout, Larchmont, NY UNITED STATES ;
Stephen T. Huhn, Tarrytown, NY UNITED STATES ;
Thomas J. Kramer, Fairfax Station, VA UNITED STATES ;
Daniel J. Mathias, Ballston Lake, NY UNITED STATES ;
Richard S. Miskovitz, Pawling, NY UNITED STATES ;
Edward Plavchak, Carmel, IN UNITED STATES ;
Joel Penfield, Chatham, NJ UNITED STATES ;

Read Chatham

Continuing Data as Claimed by Applicant

Foreign Applications

Foreign filing license granted on 02/29/2000

Title

System and Method for Measuring and Pricing Midrange Computer Server Outsourcing Services

Preliminary Class

705

Data entry by : JONES, ANGELONA

Team : OIPE

Date: 02/29/2000



#3
OIP
MAR 12 2000
MAN DATE CANCELLED
PATENT & TRADEMARK OFFICE

sector
\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of

APR 10 2000
PATENT & TRADEMARK OFFICE

April 7, 2000

J.M. Halbout, et al

Group Art No.: 2768

Serial No. 09/494,643

Examiner: Not assigned

Filed: January 31, 2000

for IBM Corporation
Anne Vachon Dougherty
3173 Cedar Road
Yorktown Hts, NY 10598

Title: SYSTEM AND METHOD FOR
MEASURING AND PRICING
MIDRANGE COMPUTER SERVER
OUTSOURCING SERVICES

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Application

Fee-Deposit Authorization

Declaration

Assignment and Recordation Form Cover Sheet

Acknowledgment Postcard

is being deposited with the United States Postal Service as first
class mail in an envelope addressed to:

Commissioner of Patents and Trademarks
Washington, DC 20231

on April 7, 2000.

Anne Vachon Dougherty

Anne Vachon Dougherty
Signature of person mailing

SMS999-002



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of : April 7, 2000
J.M. Halbout, et al : Group Art No.: 2768
Serial No. 09/494,643 : Examiner: Not assigned
Filed: January 31, 2000 : for IBM Corporation
Anne Vachon Dougherty
Title: SYSTEM AND METHOD FOR 3173 Cedar Road
MEASURING AND PRICING Yorktown Hts, NY 10598
MIDRANGE COMPUTER SERVER
OUTSOURCING SERVICES

DEPOSIT AUTHORIZATION

Authorization is hereby given to charge the following fees to
Deposit Account No. 50-0510:

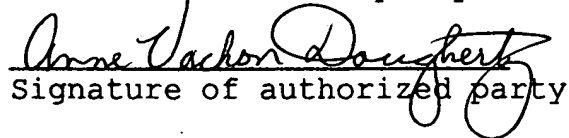
Fee for filing Missing Parts of Application (37 CFR 1.16(e))
in the amount of \$130.00.

Fee in the amount of \$40.00 for recordation of Assignment.

A duplicate copy of this authorization is enclosed.

Anne Vachon Dougherty

Name of authorized party


Signature of authorized party

FILE COPY

Bib Data Sheet

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SERIAL NUMBER 09/494,643	FILING DATE 01/31/2000 RULE -	CLASS 705	GROUP ART UNIT 2768	ATTORNEY DOCKET NO. SMS999-002
------------------------------------	---	---------------------	-------------------------------	--

APPLICANTS

Jean-Marc Halbout, Larchmont, NY UNITED STATES;
 Stephen T. Huhn, Tarrytown, NY UNITED STATES;
 Thomas J. Kramer, Fairfax Station, VA UNITED STATES;
 Daniel J. Mathias JR., Ballston Lake, NY UNITED STATES;
 Richard F. Miskovitz, Pawling, NY UNITED STATES;
 Edward Plavchak, Carmel, IN UNITED STATES;
 Joel Penfield Read, Chatham, NJ UNITED STATES;

** CONTINUING DATA *****

RW None

** FOREIGN APPLICATIONS *****

RW None

IF REQUIRED, FOREIGN FILING LICENSE GRANTED **

** 02/29/2000

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY NY	SHEETS DRAWING 2	TOTAL CLAIMS 16	INDEPENDENT CLAIMS 4
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature: <u>RW</u> Initials: <u>W</u>				

ADDRESS

IBM CORPORATION
 Anne Vachon Dougherty ESQ
 3173 Cedar Road
 Yorktown Heights, NY 10598

TITLE

System and Method for Measuring and Pricing Midrange Computer Server Outsourcing Services

FILING FEE RECEIVED 898	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit

BEST AVAILABLE COPY